



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Richard A. Mazur, et al.  
Serial No.: 09/607,019  
Filed: June 29, 2000  
Title: APPARATUS WITH TWO OR MORE POCKETS FOR DOCUMENT PROCESSING

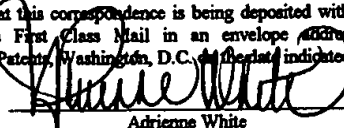
Atty Dkt: 47171-00268USC1  
Group Art: 3653  
Examiner: Jeffrey A. Shapiro

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SIXTH INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 and 1.98

GROUP 3600

Commissioner for Patents  
Washington, D.C. 20231

<b>CERTIFICATE OF MAILING</b> 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. at the date indicated below:	
<u>January 28, 2003</u> Date	 Adrienne White

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the reference(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

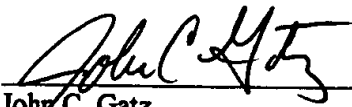
On October 1, 2002, Cummins-Allison Corp., assignee of the present application, sued Glory Ltd., Glory Shoji Co. Ltd., and Glory (U.S.A.), Inc., in the United States District Court for the Northern District of Illinois, Civil Action No. 02C-7008 (complaint listed as ref. C12) for infringement concerning U.S. Pat. No. 6,459,806. The documents listed on the attached Form PTO-1449 are from this litigation. Accordingly, pursuant to 37 C.F.R. § 1.98(3)(i), the relevance of any non-English references on the attached Form PTO-1449 is that such references were identified by Glory in the above mentioned litigation.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

The present Information Disclosure statement is being filed before the mailing of a first office action on the merits in the Request for Continued Examination (RCE) application and hence, is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees be deemed necessary (except payment of the issue fee), the Commissioner is authorized to charge any deficiency or to credit any over payment to Jenkens & Gilchrist Account No. 10-0447/47171-00268USC1.

Respectfully submitted,

January 28, 2003  
Date

  
\_\_\_\_\_  
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Attorneys for Applicants

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**U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.

**Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B23	EP 0487 316 A2	05/27/92	European	G07D	7/00	English

**Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
	C12	Complaint Cummins-Allison Corp. v. Glory Ltd., Glory Shoji Co. Ltd., and Glory (U.S.A.) Inc., Civil Action No. 02C-7008, N.D. Ill.
	C13	Redacted Declaration of Hiroya Mouri (12 pages) (11/19/02)
	C14	Glory Model UF-1, Instruction Manual (30 pages) (date uncertain, prior to 11/20/02)(Japanese)[11/19/02 Mouri Ex. 1]
	C15	Glory Model UF-1, Translation of Instruction Manual - (29 pages) (date uncertain, prior to 11/20/02) (Glory's translation) [11/19/02 Mouri Ex. 1a]
	C16	Glory UF-1 brochure (2 page)(date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 2]
	C17	Glory GFB-30 brochure (2 page) (date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 3]
	C18	Glory GFB-30 operation manual (16 pages) (date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 6]
	C19	Glory GSA-500 Service Manual (119 pages) (5/89) [11/19/02 Mouri Ex. 5]
	C20	Glory GFU-200 operator manual (26 pages) (date unknown, first page marked 92-3-2) (Japanese) [11/19/02 Mouri Ex. 8]
	C21	Glory GFR-110 Instruction Manual - Currency Reader Counter (26 pages) (dated 8/23/99) [11/19/02 Mouri Ex. 10]
	C22	Glory GFR-S Series Currency Counters/Discriminators GFR-S60; GFR-S80; GFR-S80V (4 pages) (date © 2002) [11/19/02 Mouri Ex. 12]
	C23	Declaration of Sadaaki Uesaka (7 pages) (11/19/02)
	C24	Glory's Monthly Newsletter, June 1985 (38 pages) (Japanese) [11/19/02 Uesaka Ex. 2]
	C25	Glory's Monthly Newsletter, June 1985 - partial translation (4 pages) [11/19/02 Uesaka Ex. 3]

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Office of Patents and Publications for Applicant's  
6<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT

Applicant

Richard A. Mazur et al.

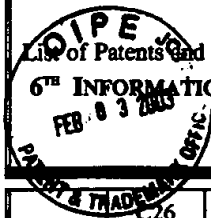
Filing Date:

June 29, 2000

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C26	Glory Money O.A. Catalog, June 1989 (44 pages) (Japanese) [11/19/02 Uesaka Ex. 5]
C27	Declaration of Akira Hoyo (5 pages) (11/15/02)
C28	Billcon D-202/204 Service Manual - Second Translation (Glory) (cover marked 630229) (25 pages) [11/15/02 Hoyo Ex. 2a]
C29	Billcon D-202/204 - Nikkin Newspaper ad, April 17, 1987 (2 pages) (Japanese) [11/15/02 Hoyo Ex. 3]
C30	Billcon D-202/204 - Nikkin Newspaper ad, April 17, 1987 (2 pages) (English translation) [11/15/02 Hoyo Ex. 3a]
C31	Billcon D-202/204 brochure (2 pages) (date uncertain, prior to 11/20/02) (Japanese) [11/15/02 Hoyo Ex. 5]
C32	Billcon D-202/204 brochure (2 pages) (date uncertain, prior to 11/20/02) (English translation) [11/15/02 Hoyo Ex. 5a]
C33	Billcon D-202/204 videotape of Japanese television show entitled "Small and Worldwide Companies" (allegedly aired 1/10/88) (Japanese) [11/15/02 Hoyo Ex. 6]
C34	Declaration of Philip C. Dolsen (6 pages)(11/20/02)
C35	Dolsen, Philip C. Curriculum (4 pages) (10/29/02) [11/20/02 Dolsen Ex. 1]
C36	OKI Semiconductor data book, MSM80C85A-2RS/GS/JS - 8 Bit CMOS Microprocessor (10 pages) (alleged March 1989) [11/20/02 Dolsen Ex. 3A]
C37	OKI Semiconductor data book, MSM80C88A-2RS/GS/JS - 8 Bit CMOS Microprocessor (27 pages) (alleged March 1989) [11/20/02 Dolsen Ex. 3B]
C38	Intel 80286, Intel data sheet, High Performance Microprocessor with Memory Management and Protection (28 pages; pages 3-1 to 3-55) (alleged 1988) [11/20/02 Dolsen Ex. 4]
C39	NEC uPD780C-1 Microprocessor, NEC data book (23 pages; pages 4-3 to 4-25) (alleged 1987) [11/20/02 Dolsen Ex. 5]
C40	NEC PD70216 processor, NEC data book (34 pages; pages 3-161 to 3-227) (alleged 1987) [11/20/02 Dolsen Ex. 6]
C41	Dolsen claim chart for claims 40-43, 46-48, 76-78, 81, 101, 105, 108, 110-111 U.S. Pat. No. 6,459,806 [11/20/02 Dolsen Ex. 7]
C42	Glory GFR-S80V Operation Keys, Pages 1-11 (5/17/02) English (GL000106-116)
C43	Glory Catalog pages 4-11 listing various Glory machines including GFU-100, GFF-8CF, GFF-8, GFB-500/520, GFF-8E, and GSA-500 and maintenance policy and fees, English (GL001916-1923) (date uncertain, last page dated 8/15/90)
C44	De La Rue 3000 Series Used banknote sorting machines, 10 legal size pages, English (GL001924-33) (date uncertain, prior to 11/4/02)
C45	De La Rue 3400/3500 Series High Speed Currency Sorting Systems brochure, 4 pages, English (GL001934-37)(© 1989)
C46	The New Billcon K-300 Series brochure, 2 pages, English (GL002389-90) (12-99)
C47	The New Billcon N-Series Compact Note Counter brochure, 2 pages, English (GL002391-92) (© 2000)

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

Atty. Docket No.

Serial No.

47171-00268USC1

09/607,019

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6<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT

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C48	The New Billcon K-300 Series Brochure, 2 pages, (© 1999) English (GL002396-97)
C49	Billcon D-202/204 brochure, 2 pages, Japanese (date uncertain, prior to 11/7/02) (GL002398-99)
C50	De La Rue Teller Cash Dispatch™ Applications brochure, 8 pages, (© 1999) English (GL002475-2482)
C51	De La Rue Cash Systems, Coin Processing Banknote Counting brochure, 4 legal pages, (date uncertain, prior to 11/7/02) English (GL002485-88)
C52	De La Rue Cash Systems, 2650 Currency Counting Machine brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002489-90)
C53	De La Rue Cash Systems, The Euro Range for Note and Coin Handling brochure, 4 pages, (date uncertain, prior to 11/7/02) English (GL002491-94)
C54	Currency Systems International Cobra™ Banknote Sorter brochure, 3 pages (middle page legal), (© 2001) English (GL002495-97)
C55	De La Rue Cash Systems Cobra™ 4004 Banknote Sorter brochure, 2 legal pages, (© 2001) English (GL002498-99)
C56	De La Rue Cash Systems TCR Twin Safe™ Teller Cash Recycler, 2 pages, (© 2001) English (GL002500-01)
C57	De La Rue's WestLB Panmure Marketing Pamphlet regarding Cash Systems Division, 16 pages, (4/29/02) English (GL002502-17)
C58	De La Rue Cash Systems 2800 VB Value Balancing currency counter brochure, 2 pages (9/99) English (GL002518-19)
C59	De La Rue Cash Systems 8672 Máquina contadora de billetes brochure, 2 pages (date uncertain, handwritten date 11/99) (Spanish) (GL002520-21)
C60	Sprintquip Quicksort™ 2800 brochure, 2 pages, (hand dated 9/99) English (GL002523-24)
C61	Brandt® Model 8643 Currency/Document Counter brochure, 2 pages, (© 1995) English (GL002527-28)
C62	De La Rue Cash Systems 2700VB Currency Counting Machine brochure, 2 pages (hand dated 9/99) English (GL002529-30)
C63	De La Rue Cash Systems Brandt 8625 Currency Counting Machine, 2 pages (hand dated 11/99) English (GL002531-32)
C64	De La Rue Cash Systems Branch Cash Automation Applications (Powerpoint), 28 pages, (date uncertain, prior to 11/7/02) English (GL002539-66)
C65	De La Rue Commercial Self Service (Powerpoint), 24 pages, (date uncertain, prior to 11/7/02) English (GL002567-90)
C66	De La Rue Automated Depositories (Powerpoint), 2 pages, (date uncertain, prior to 11/7/02) English (GL002591-92)
C67	De La Rue Systems 2800VB Value Balancing Sorter/Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002593-94)
C68	De La Rue Systems 2700VB Specification page of brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002595)
C69	Magner® 15 Desktop banknote counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002596-97)
C70	MAG II Model 20 Currency Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002605-06)

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	71	Banc Equip Magner Products Product Price List, 2 pages, (date uncertain, prior to 11/7/02) English (GL002613-14)
	C72	Magner Model 35 Currency Counting Machines brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002625-26)
	C73	Magner 75 Series Currency Counting Machines brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002627-28)
	C74	G&D BPS 200 Desktop Banknote Processing System brochure, 10 pages, (date uncertain, prior to 11/7/02) English (GL002629-38)
	C75	G&D BPS 200 Desktop Banknote Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002643-44)
	C76	G&D One Size Does Not Fit All! Brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002645)
	C77	G&D BPS 500 Banknote Processing System brochure, 4 pages, (date uncertain, prior to 11/7/02) English (GL002646-49)
	C78	G&D Numeron webpage picture of sorting machine and Design Award for Numeron, 2 page, (3/29/02) (GL002650-51)
	C79	G&D BPS 200 Banknote Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002652-53)
	C80	G&D BPS 500 Banknote Processing System brochure, 1 page (hand dated 9/99) English (GL002654)
	C81	G&D Company Magazine, 36 pages, (3/98) English (GL002655-90)
	C82	G&D Cards and Card Systems brochure, 15 pages, (© 1998) English (GL002691-2705)
	C83	G&D Portrait of a Company Group brochure, 19 pages, (© 1997?) English (GL002706-24)
	C84	Mosler TouchSort™ Plus Currency Processing System brochure, 2 pages (© 1999) English (GL002727-28)
	C85	Mosler Satellite Branch Facilities - Riddell National Bank, Brazil, Indiana brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002729)
	C86	Mosler TouchSort™ Currency Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002730-31)
	C87	Mosler marketing brochure, 4 pages, (© 1999) English, (GL002732-35)
	C88	Glory UC-10-10A Brochure, 2 pages, (date uncertain, prior to 11/7/02) Japanese (GL002777-78)
	C89	Glory UC-10A pamphlet, 1 legal page, (date uncertain, prior to 11/7/02) Japanese (GL002779)
	C90	Glory pamphlet of various machines, 1 legal page, (date uncertain, prior to 11/7/02) Japanese (GL002780)
	C91	Glory UF-1 brochure, 2 pages, (date uncertain, prior to 11/7/02) Japanese (GL002781-82)
	C92	Glory GFU-200 Desk-top Currency Fitness Sorter/Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002839-40)
	C93	Glory GFR-100 Currency Reader Counter brochure, 4 pages, (© 1995, English (GL002860-63)
	C94	Glory GFR-100 Currency Reader Counter Instruction Manual, 31 pages (1/8/96) English (GL002864-94)

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

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Form PTO-1449 (modified)

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Serial No.  
09/607,019

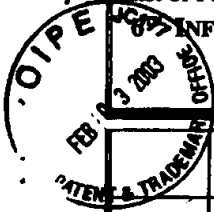
List of Patents and Publications for Applicant's  
**INFORMATION DISCLOSURE STATEMENT**

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C95	Glory Tank Currency Discriminators GFR-110 & GFR-S80 brochure, 2 pages, (© 2000) English (GL002959-60)
C96	Glory Currency Reader Counter GFR-S80, S60 Instruction Manual, 33 pages (11/1/00) English (GL002961-93)
C97	Glory UW-100 Compact Currency Fitness Sorter brochure, 2 pages (© 1999) English (GL003027-28)
C98	Glory Currency Fitness Sorter UW-100 Instruction Manual, 38 pages (2/19/02) English (GL003029-66)
C99	Glory UW-200 Multi-Purpose Company Currency Sorter brochure, 2 legal pages (© 1999) English (GL003067)
C100	Glory Currency Sorter UW-200 With Fitness sorting mode (FIT) Instruction Manual, 44 pages (10/23/01) English (GL003068-111)
C101	Billcon D-202-204 brochures, 6 pages, (date uncertain, prior to 11/7/02) Japanese (GI003112-17)
C102	Billcon® R-900 E-DS Note Counter with Dual Speed and Denomination Sorting Function brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL003167-68)
C103	Billcon R-900DS Currency Counter Operating Manual, 6 pages, (date uncertain, prior to 11/7/02) English (GL003169-74)
C104	Billcon R-900 Currency Counter Service Manual (601221), 31 pages, (date uncertain, prior to 11/7/02) English (GL003175-3205)

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